Appendix D – Flow Charts

- These flow charts highlight parts of the complaint process to demonstrate the differences between the draft New Code (Appendix A) and the proposed amendments (Appendix C).
- Proposed amendments included:
 - #6 Complaint Outside of Jurisdiction
 - #7 Informal Resolution
 - #8 Investigator's Report



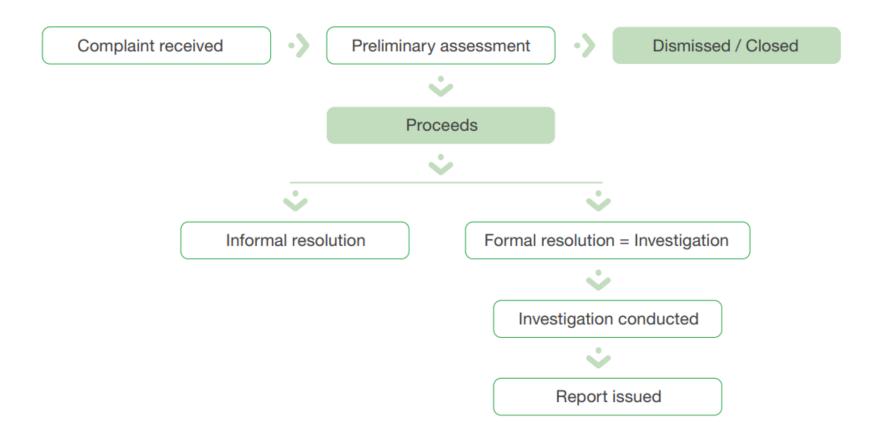
Proposed Amendments

- Change the Conduct Captured by Code
 - #1. Personal Life
 - #2. Harassment & Discrimination
 - #3. Interactions with Staff
 - #4. Social Media
 - #6. Complaint Outside of Jurisdiction

- Change the Complaint Process
 - #5. Ethics Commissioner
 - #7. Informal Resolution
 - #8. Investigator's Report
 - #9. Restorative Justice Remedies
 - #10. Remuneration
 - #11. Reimbursement



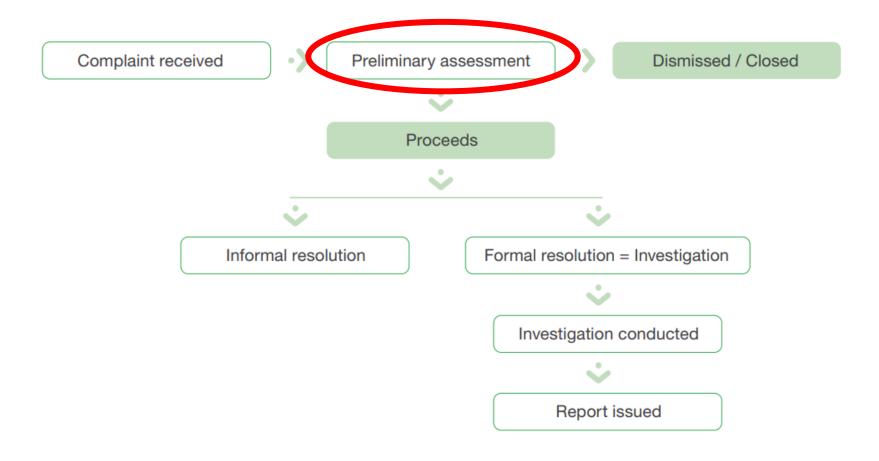
Overall Complaint Process



Source: "Figure 1: Complaint Process", City of Vancouver | Office of Integrity Commissioner, 2023 Annual Report (*Note:* the same overarching process applies to the New Code and all proposed amendments)



Proposed Amendment #6. Complaint Outside of Jurisdiction



Source: "Figure 1: Complaint Process", City of Vancouver | Office of Integrity Commissioner, 2023 Annual Report (*Note:* the same overarching process applies to the New Code and all proposed amendments)



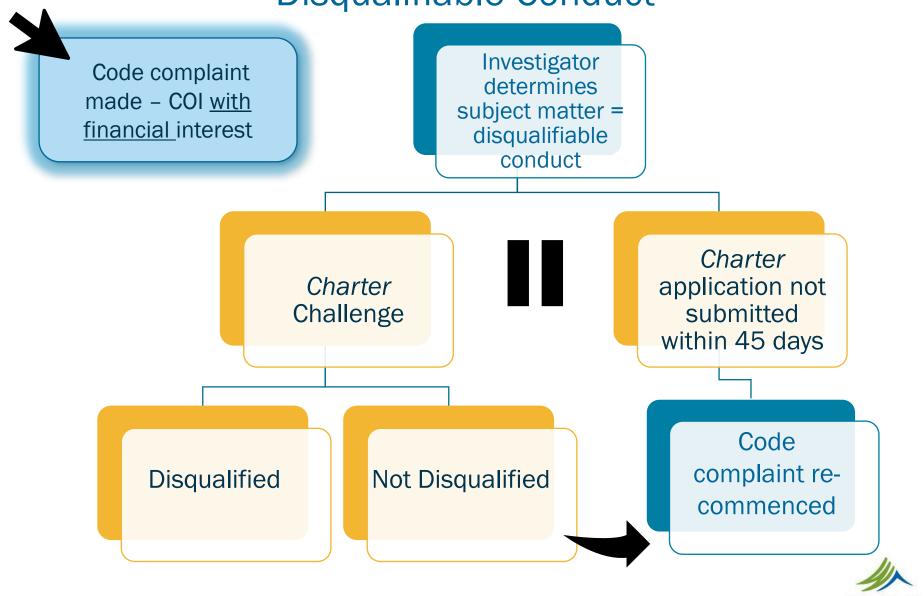
Disqualifiable Conduct

	New Code	Amendment
Within 45 days	Suspended	Rejected
After 45 days	Re-commenced*	Accepted



^{*}The complaint may also be re-commenced if a disqualification proceeding under the *CC* has concluded and the Council member was not disqualified. It must be in the public interest to re-commence the complaint.

New Code (ss. 60 & 61) – Disqualifiable Conduct



#6. Complaint Outside of Jurisdiction – Disqualifiable Conduct

Code complaint made – COI with financial interest

Investigator determines subject matter = disqualifiable conduct

Within 45 days

Outside of 45 days

Complaint rejected

Complaint accepted



#6. Complaint Outside of Jurisdiction – NOT Disqualifiable Conduct

Code complaint made – COI <u>without</u> <u>financial</u> interest



Investigator determines subject matter ≠ disqualifiable conduct

Complaint accepted



Disqualifiable Conduct Example

Council member A has a financial interest in a rezoning that is coming before Council. Council member A declares their conflict of interest and does not participate in the vote on the matter at the Council meeting. However, prior to the meeting, on June 1, Council member A tells Staff member Z to draft their report such that the benefits to the community outshine any potential drawbacks to the proposal. On June 8, Staff member Z files a code of conduct complaint.

- Under the proposed amendment, the investigator must <u>reject</u> this complaint because it is with respect to conduct that may subject a Council Member to disqualification pursuant to section 111 of the Community Charter.
 - (because it is still within the 45-day window)
- Under the New Code, as currently drafted, the Disqualification Proceedings sections (ss. 60 and 61) require the investigator to suspend the complaint until the 45-day time-period to commence a proceeding under the CC has expired.
- After the time-period has expired, and if it would be in the public interest to do so, the investigator may <u>re-commence</u> the complaint.



Disqualifiable Conduct Example Cont'd.

- The relevant section of the New Code is section 37, which states:
 - 37. Council Members must not attempt to influence a decision of Council, a committee, or Staff if the Council Member has a pecuniary conflict of interest in relation to that decision.
- The investigator makes a determination that Council member A breached section 37 of the New Code and recommends that Council impose the remedies of a letter of reprimand from Council addressed to Council member A, a request that Council member A issue a letter of apology, a recommendation that Council member A attend conflict of interest training, and a suspension from the Acting Mayor rotation and any committee or board appointments.
- Given that this is Council member A's first breach of the New Code, their remuneration will be reduced by 10% for one year.
- *NOTE If Staff member Z filed the code complaint on July 17 (after 45 days), it would have been <u>accepted</u> under the proposed amendment.



Criminal Conduct

	New Code	Amendment
Criminal Conduct	Suspended	Rejected
Charges disposed of	Re-commenced*	



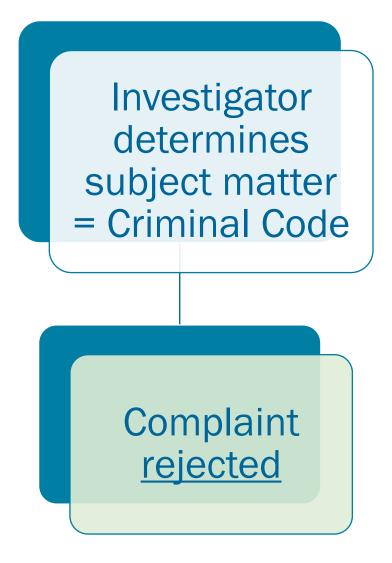
^{*}The complaint may be re-commenced once a police investigation concludes, or criminal charges have been disposed of and a Council member's mandatory leave ends. A Council member <u>convicted</u> of an indictable offence is disqualified.

New Code (ss. 58 & 59) – Criminal Conduct

Investigator Complaint must determines be suspended subject matter = **Criminal Code** Council member **Police** charged with an investigation offence Council member Investigation → mandatory ends leave Complaint may Council member not convicted → be recommenced leave ends



#6. Complaint Outside of Jurisdiction – Criminal Conduct





Criminal Conduct Example

Council member A tells Council member B that they will burn down Council member B's sauna. Council member B files a code of conduct complaint.

- Under the proposed amendment, the investigator must <u>reject</u> this complaint as it is an allegation of a criminal nature consistent with the *Criminal Code*.
- Under the New Code, as currently drafted, the Criminal Conduct sections (ss. 58 and 59) require the investigator to refer the matter to the appropriate authorities and <u>suspend</u> the complaint until any charges have been finally disposed of.
- The investigator refers the matter to the authorities and Council member A is charged with the offence of uttering threats under the *Criminal* Code. Following this charge, Council member A is placed on a mandatory leave of absence under section 109.3(1) of the CC.
- Council member A is acquitted of the offence. Accordingly, their mandatory leave ends.



Criminal Conduct Example Cont'd.

- Under the authority of sections 58 and 59 of the New Code, the investigator may re-commence the code of conduct complaint.
- The relevant sections of the New Code are found in section 17, which state:

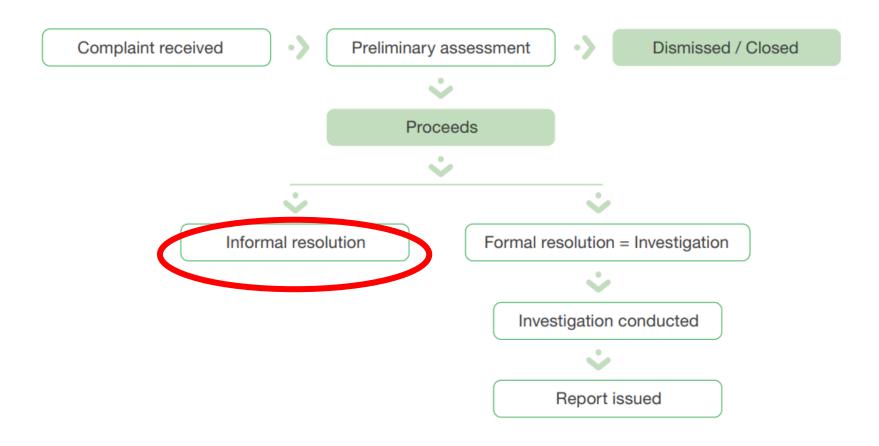
17. Council Members shall not harass or defame other Council Members, Committee Members, Staff, or Volunteers.

a) Harassment includes: ...

iv. any unwelcome or objectionable conduct or comment that causes an individual to be humiliated or intimated, including but not limited to:

- 1. Verbal aggression or insults, ...
- The investigator makes a determination that Council member A breached section 17 of the New Code and recommends that Council impose the remedies of requesting Council member A to issue a letter of apology to Council member B and that a restorative justice approach be employed to facilitate a dialogue between the two Council members, focusing on repairing the specific harm caused by the threat.
- Given that this is Council member A's second breach of the New Code,
 their remuneration will be reduced by 15% for one year.

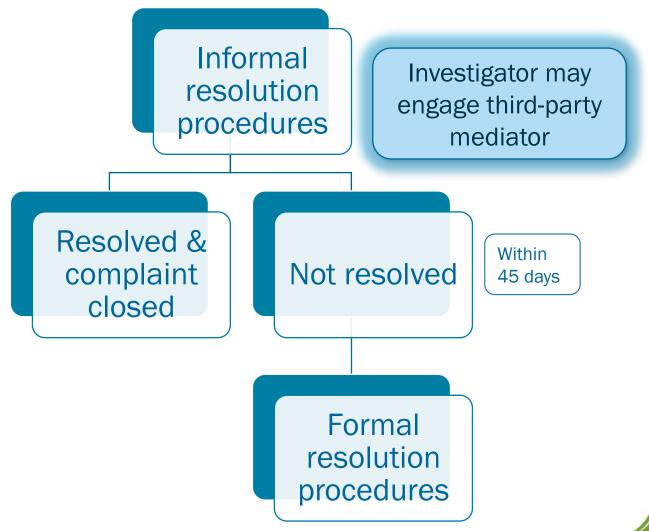
Proposed Amendment #7. Informal Resolution



Source: "Figure 1: Complaint Process", City of Vancouver | Office of Integrity Commissioner, 2023 Annual Report (*Note:* the same overarching process applies to the New Code and all proposed amendments)



New Code (ss. 62-67) – Informal Resolution





#7. Informal Resolution

Investigator may refer complaint

Investigator may engage third-party mediator

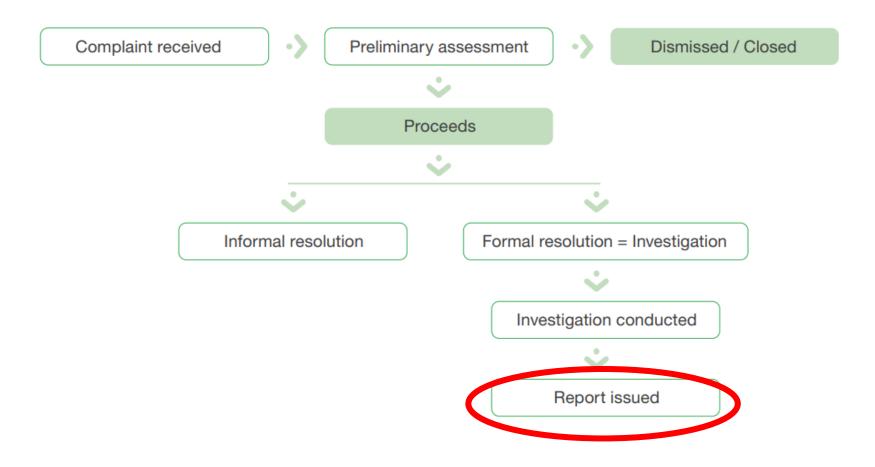
If made by a
Council
member, to the
Mayor

If made by Staff, to the CAO

Mayor/CAO may engage third-party mediator



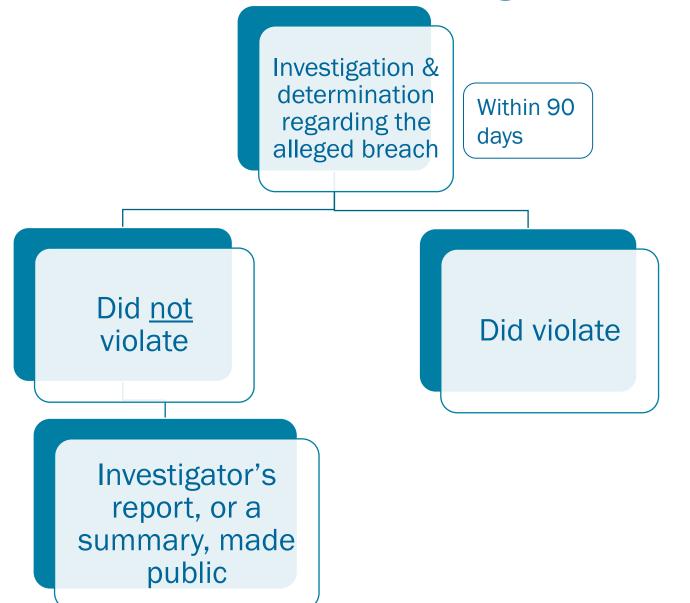
Proposed Amendment #8. Investigator's Report



Source: "Figure 1: Complaint Process", City of Vancouver | Office of Integrity Commissioner, 2023 Annual Report (*Note:* the same overarching process applies to the New Code and all proposed amendments)



New Code (s. 74. d)) – Investigator's Report





New Code (ss. 75-79) – Investigator's Report

<u>Did</u> violate





#8 - Investigator's Report

Investigation & determination regarding the alleged breach

Within 90 days

Did <u>not</u> violate

Did violate

Investigator's report, or a summary, made public*

*Subject to Council member's approval

